

Fisheries Loan Guarantee Application Form

(Note: Application form required for each partner or shareholder.)

| 1. Client Information | | | |
|-----------------------|--|-----------------|--|
| Applicant Name | | Business Phone | |
| Key Contact | | Residence Phone | |
| Business Location | | Fax # | |

| 2. Identification Section | | | |
|------------------------------------------------|---------------|-------------------|-----------------------|
| Surname | Given Name(s) | Birth date | SIN |
| | | | |
| Address | | | Postal Code |
| | | | |
| Marital Status | Spouse's name | No. Of Dependents | Spouse's Employer |
| | | | |
| Spouses' estimated annual income: From Work | | \$ | From other sources \$ |

| 3. Purpose of Funding |
|-----------------------|
| |

| 4. Project Costs | | Proposed Financing | |
|-----------------------|----|------------------------|----|
| Vessel Acquisition | | Applicant's Investment | |
| Vessel Upgrades | | Bank | |
| Equipment Acquisition | | | |
| | | | |
| Total | \$ | Total | \$ |

| 5. Principal owners and management of business | | | |
|------------------------------------------------|-------------|----------|---------------------|
| Name | Ownership % | Position | Management Function |
| | | | |
| | | | |

| 6. Employment | | | | |
|---------------------|-----------------------------------|------------------|-----------------|-----------------------|
| Job Categories | Total Employment Prior to Funding | New Jobs Created | Jobs Maintained | State crew percentage |
| Permanent Full Time | | | | |
| Permanent Seasonal | | | | |
| Permanent Part Time | | | | |
| Seasonal Part Time | | | | |

| 7. Professional References | | | |
|----------------------------|------|--------------|-----------|
| | Firm | Contact Name | Telephone |
| Bank | | | |
| Accountant | | | |
| | | | |

| 8. Fisheries related information | | | | | | |
|--------------------------------------------------------------------------------------------------|--------|------------------------|------------------------------|---------|------------|-----------------|
| Is fishing your main occupation? | | If yes, for how long? | | | Years | |
| Are you a core fisherman? | | | | | | |
| State type of fishery and prime fishing season. | | | | | | |
| Have you enclosed a copy of your fishing license(s)? Yes _____ No _____ (If no, please explain.) | | | | | | |
| | | | | | | |
| State areas authorized to fish. | | | | | | |
| To whom and where was the catch sold? | | | | | | |
| State share percentage & dollar value: Crew % ____ \$ _____ ; Vessel owner % ____ \$ _____ | | | | | | |
| Fishery related training courses, diplomas and certificates held | | | | | | |
| | | | | | | |
| Do you own a fishing vessel(s)? | | If yes, describe below | | | | |
| Registered name of Fishing Vessel | Length | Type of Construction | Outstanding Bounty | CFV No. | Year built | Vessel Location |
| | | | Yes ___ No ___ | | | |
| | | | \$ | | | |
| Have you had previous dealings with the *Fisheries Loan Board? | | | | | | |
| If so, when? | | | Was the loan paid as agreed? | | | |
| If not explain why below | | | | | | |
| | | | | | | |
| | | | | | | |

8. Fisheries related information (Continued ...)

How many years will you need to repay this loan?

What is the best month for you to make your yearly payment?

Sources of down payment. Own cash: Amount \$

Other source(s) Specify

Have you worked at anything other than fishing in the past 2 years? If yes, for each year indicate name/address of each employer, type of work, what months you worked and income from each employer.

| Year | Employer | Income |
|---------|----------|--------|
| 20 ____ | | |
| 20 ____ | | |

9. Business Financial Information

Under separate cover please provide

- a) Previous and current years income and expense statements. (Income tax returns are acceptable.);
- b) Two years forecast of revenues and expenses;
- c) Details of historical and forecast revenues according to: species, volumes and price; and
- d) If incorporated, a recent balance sheet detailing the businesses assets and liabilities.

10. Personal Financial Position

| ASSETS | | LIABILITIES (Plant, Bank, Finance Company and Others.) ** | |
|-----------------------------------|----|-----------------------------------------------------------|----|
| Cash, Bonds, Savings, Investments | | | |
| RRSP | | | |
| Fishing Gear | | | |
| Fishing Vessel(s) | | | |
| Land, House(s), Cottage(s) | | | |
| Rental Property | | | |
| Vehicle(s), * | | | |
| | | NET WORTH: | \$ |
| | | | |
| TOTAL ASSETS: | \$ | TOTAL LIABILITIES: | \$ |

* Please attach a sheet providing details of vehicles, ATV's, travel trailers or other assets.

** Please attach a sheet providing details of loans (including any monies owing to Provincial Government Departments) - terms, monthly payments, interest rate, security and purpose.

Applicant's Declaration / Consent and Disclosure

The undersigned, on behalf of _____ hereby authorizes the Department of Tourism, Culture, Industry and Innovation, hereafter known as "The Department":

- < to make any inquiries or obtain information concerning the financial affairs of the applicant, shareholders and /or proprietors from any party including but not limited to such persons, firms, corporations of other government agencies as it deems necessary in order to reach a decision on this application;
- < to consult with the Canada Revenue Agency and obtain copies of Income Tax Return and other related documents for each of the past two years assessed; and
- < to access, at any reasonable time, the site and premises of the facility described in this application.

The Applicant hereby:

- < acknowledges that the appraisals, inspection, reviews of plans or other documentation performed by government inspectors are done solely for the purposes of securing interests of The Department in connection with its granting of a guarantee. The Applicant further acknowledges and agrees that The Department shall not in any circumstances be liable for any loss, damage, defect or delay which may arise as a result of such appraisals, inspection and/or reviews;
- < authorizes the bank to provide the Department with full information concerning the operating and financial position of the applicant;
- < confirms that all the information and representations submitted in connection with this application for funding are true and correct to the best of the knowledge and belief of the undersigned;
- < certifies that all debts owing to Provincial Government Departments and/or its agencies, by the applicant and its affiliated/associated companies and shareholders, have been disclosed in this application, including any amounts settled or written off by the applicant, its affiliated/associated companies and its shareholders in the last six years;
- < confirms that neither the applicant nor its officers are involved in any litigation, or in any proceedings before any Government board, agency or tribunal which have not been disclosed in writing as an attachment to this application; and
- < agrees that the acceptance of this offer shall be considered to include agreement to make public the loan amount and name of the recipient together with the purpose and other information pertaining to employment created.

I declare that I am a Canadian citizen, resident in the Province of Newfoundland and Labrador and/or a registered Newfoundland and Labrador company and I certify that the information contained in this application and schedules attached hereto are true and correct in all aspects.

(Signature of Authorized Signing Officer) _____ Date:

| | | |
|---|---|---|
| D | M | Y |
| _ | _ | _ |

(Signature of Authorized Signing Officer) _____ Date:

| | | |
|---|---|---|
| D | M | Y |
| _ | _ | _ |

Privacy and Confidentiality Notice

We will only use the personal information collected or provided as part of this application process for purposes directly related to the operation of the relevant programs and for statistical reports.

Information you provide us in confidence, both personal and business-related, will be kept confidential unless:

- you approve its release, or
- we are required or authorized by laws such as the *Access to Information and Protection of Privacy (ATIPP) Act* to release it.

If you have questions regarding privacy and confidentiality, contact the Access and Privacy Coordinator, Department of Tourism, Culture, Industry and Innovation, phone (709) 729-7000 or email TCII@gov.nl.ca